

JAMES D. HARRINGTON, CFA

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Summary: *Credentialed finance, investment, and insurance professional with extensive industry experience and collegiate level teaching experience in the aforementioned subject areas.*

TEACHING EXPERIENCE

2016 – Present

Temple University – Philadelphia, PA

Assistant Professor of Practice (1/1/18 – present)

Adjunct Professor – Fox School of Business (8/16 – 12/17)

Teach and taught the following graduate and undergraduate courses: RMI 3519 Insurance and Financial Planning, W4597 Managing Risk Across the Enterprise, RMI 3567 Managing International Risk, RMI 5015 Managing Risk (in-class and on-line) Finance 4597, Seminar (Capstone) In Financial Planning, Actuarial Economics AS 5108, Personal Financial Planning RMI 2501, and RMI 0822, Quantitative Literacy, a Gen Ed class, served as grader for graduate course RMI 5401- Enterprise Risk Management.

2017 – Spring Semester

Cabrini University – Radnor, PA

Adjunct Professor – School of Business

Served as an adjunct professor of finance for 2 courses. These courses were 1) Finance 285 – Business Finance I: time value of money, cost of capital, capital budgeting, bond, and stock valuation techniques. 2) Finance 431 – Investment Portfolio Analysis: risk and return, various stock valuation techniques, fundamental and technical analysis of equities, trading order strategies, options, CAPM, bonds/yield curve strategies, and measuring portfolio performance.

INDUSTRY EXPERIENCE

2009 – Present

Independent Investment Consultant and Advisor – Yardley, PA

(Affiliated with Bluesphere Advisors -East Norriton Township, PA)

Serve as an independent investment advisor/consultant utilizing an open architecture platform. Responsible for providing a variety of investment and investment related services to a diverse group of investors. Services and duties:

- Develop investment policy statements to meet client's objectives
- Conduct capital markets research and investment manager due diligence
- Articulate investment strategies to meet investors' objectives
- Implement asset allocation to meet client's objectives using traditional and alternative assets
- Provide customized performance reporting
- Communicate regularly with clients, via text, e-mail, phone, hard copy letters, and face to face meetings

2007 - 2008

W.P. STEWART – New York, NY

Senior Vice President – Portfolio Specialist

W. P. Stewart is an investment management firm serving the investment needs of HNW and UHNW investors and institutions. Served as a liaison between clients and portfolio managers, keeping clients and other constituents updated on portfolio decisions, portfolio performance, the firm's investment views, and asset allocation.

- Maintained a 90%+ client retention rate.
- Recruited to be a member of the company's client service team; this team was an integral part of the company's rehabilitation efforts. The new client service team was eliminated in 2008 due to a major change in ownership of the firm.

2000 – 2007

BRINKER CAPITAL – Berwyn, PA
Chief Investment Officer (CIO)

Brinker Capital is an investment advisory firm specializing in a manager of manager's approach to serve; high net worth (HNW) and institutional investors. Responsibilities included: investment manager due diligence, asset allocation, portfolio construction, and client service.

- Expanded the company's product offerings across all asset classes, including alternative investments
- Implemented an investment philosophy which contributed significantly to the firm's growth in assets from \$3 billion to \$10 billion
- Active member of the company's management committee
- Chaired the company's investment committee
- Assisted advisors in closing \$300+ million of new business which consisted of HNW, UHNW, Family Offices, and Institutions (Endowments, Foundations, and Pension Plans).
- Served as consultant to the endowment of a top 50 liberal arts college
- Managed the investment department which consisted of 10 investment professionals. Designed and implemented training programs for professional staff development, particularly in the CFA program

2000 – 2000

SUNOCO, INC. – Philadelphia, PA
Director – Trust Investments (“employed consultant”)

Reported to the Treasurer of this \$10 billion in revenue marketer and refiner of petroleum products with responsibility for investing \$2.5 billion of retirement assets.

- Completed a strategic review of the pension investment function. Recommended the company restructure the function and eliminate the Director-Trust Investments position. Recommendation accepted yielding 400,000 in annual savings.

1999 – 2000

CROWN CORK & SEAL COMPANY, INC. – Philadelphia, PA
Director - Investments

Reported to the SVP of Finance of this \$8 billion in revenue global packaging firm. Responsible for all investment activities associated with company's \$3.2 billion in pension assets.

- Recruited to restructure the company's pension plan. Restructuring consisted of: 1) Completing an asset liability study, 2) changing the strategic asset allocation, 3) terminating all but one existing manager and 4) hiring 11 new managers
- Upon completion of above restructuring, voluntarily resigned position and recommended that the company outsource the management of its pension plan. Recommendation accepted by management.
- Served as a trustee of the Investment Committee of Crown's U.K. subsidiary, Metal Box. Convinced U.K. trustees to adopt a custom benchmark and use a multiple manager, multiple style approach.

1995 - 1999

US AIRWAYS – Arlington, VA
Director - Pension Investments & Risk Management

Reported to the CFO of this \$8 billion in revenue, 40,000 employee firm. Responsible for pension assets of \$5 billion (\$3 billion Defined Benefit/\$2 billion Defined Contribution) and risk management.

- Produced top-quartile returns over period of employment – utilized full range of asset classes and investment styles, including alternative assets and portable alpha enhanced index strategies.
- Added two investment options to company's existing 401(k) plan and selected managers for these options.
- Established and managed a Bermuda based wholly owned captive insurance subsidiary. Reduced company's insurance costs by \$50 million over period of employment.

1992 – 1995

BARDON GROUP - Burlington, MA
Vice President - Risk Management, Investments & Benefits

Reported to the CFO of this \$500 million in sales global construction supply company. Responsible for all aspects of risk management, investments, and benefits.

- Revised the company's retirement plans to comply with IRS Control Group Issues. Terminated company's defined benefit plan and implemented a companywide 401(k) plan.
- Implemented managed care, reducing company's annual health costs by 20%, \$1.6 million.

1983 – 1992

RISK MANAGEMENT & INSURANCE

Held various positions of increasing responsibility in corporate risk management and insurance brokerage. Firms included: Emmett & Chandler (now part of AON), Mars, Inc., and Bausch & Lomb.

EDUCATION & LICENSE

1982	MBA	Risk Management & Insurance, University of Georgia
1980	BBA	Business Administration (with distinction), Penn State University
2000	CFA	CFA Institute
2017	PA	Property & Casualty Insurance License (renewed September 2019 – continuing education requirement)

PROFESSIONAL MEMBERSHIPS

Risk and Insurance Management Society
(National and Local - Delaware Valley)
CFA Institute
(National and Local – Philadelphia Chapter)

PUBLICATIONS

July 2019 How many investment advisers should you hire?
Kiplinger.com/Wealth Management

EDUCATION & CAREER HIGHLIGHTS

MBA, University of Georgia

Concentration: Risk Management & Insurance

Developed a case study "Selecting an Optimal Deductible for a Global Property Insurance Program". Case was published in **Risk Management Text and Cases**, Greene and Serbein, Reston Publishing Company, Inc., a Prentice-Hall Company

U.S. Airways (now American Airlines) – Director of Risk Management and Pension Investments. Featured in front page article in **Business Insurance** on alternative risk financing – pledged an airplane as collateral to a reinsurance company, freeing up \$30 million which backed loss reserves for self-insurance. U.S. Airways was cash strapped at the time. The "free up" of \$30 million provided the company with flexibility while improving important financial ratios.

Crown Holdings, Inc. – Director of Investments. Restructured the investments of the company's pension. This activity was a feature article in **Pensions and Investments**.

Brinker Capital

Appeared live on **CNBC and Bloomberg** television.

Served as lead consultant to the **Gettysburg College Endowment** – ranked in the top of its peer group in terms of performance.