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EDUCATION

PhD Candidate (Accounting) **Expected May 2022**
Temple University (Philadelphia, PA)

Bachelors of Business Administration (Accounting and Information Systems) **May 2010**
Selling School of Business and Management – Summa Cum Laude
Loyola University Maryland (Baltimore, MD)

RESEARCH AND TEACHING INTERESTS

Research: Audit Quality and Standards, FASB Disclosure Standards and Financial Reporting Quality, Information Technology (IT) in the Audit, IT and Corporate Governance

Teaching: Auditing, IT Audit and Assurance, Accounting Information Systems, Information Systems and Controls (including topics identified in CPA Evolution), Data and Analytics, Cybersecurity, Financial Accounting, Managerial Accounting

JOB MARKET PAPER, PUBLICATION, AND WORKING PAPERS

Job Market Paper

“The Effects of Modern Privacy Regulations on Operating Efficiency and Audit Pricing: Evidence from the General Data Protection Regulation”

Publication

Banker, Rajiv D., Xiaorong Li, Steven A. Maex, and Wenyun Shi. 2020. The Audit Implications of Cloud Computing. *Accounting Horizons* 34 (4): 1–31.

Working Papers

“The PCAOB Revolving Door and Audit Quality” (w/ Jagan Krishnan and Jayanthi Krishnan).

- Preparing for submission to a top journal

“Management Going Concern Reporting: Determinants and Impact on Auditors” (w/ Jagan Krishnan, Jayanthi Krishnan, and Ivy Lee)

- Preparing for submission to a top journal

WORK IN PROCESS

“The ‘Early Warning’ Signal of Mandated Management Assessments: Evidence from the COVID-19 Pandemic”

“Required Critical Audit Matter Disclosures, Audit Pricing and Quality”

“Regulating Culture: The Effects of Board Oversight of Culture on Firm Value and Financial Reporting”

“Form AP Filings and Market Valuation” (w/ Jagan Krishnan and Barbara Su)

PRESENTATIONS AND DISCUSSIONS

Presentations on “The Audit Implications of Cloud Computing” Paper:

- 2019 Accounting Horizons Conference on “Data Analytics in Accounting” (Baruch College)
- 2019 AAA Annual Meeting (San Francisco, CA)
- 2019 Conference on the Convergence of Financial & Managerial Accounting Research (Banff, AB)

Presentations on “The PCAOB Revolving Door and Audit Quality” Paper:

- 2020 University of Illinois Audit Doctoral Consortium (Virtual)
- 2020 AAA Annual Meeting (Virtual)
- 2020 AAA/Deloitte Foundation/J. Michael Cook Doctoral Consortium (Virtual)
- 2020 AAA Audit Midyear Meeting (Houston, TX)
- 2019 Temple University Workshop (Philadelphia, PA)

Presentations on “Management Going Concern Reporting: Determinants and Impact on Auditors” Paper:

- 2019 International Symposium on Audit Research (Boston, MA)
- 2019 Temple University Workshop (Philadelphia, PA)

Presentations on “The ‘Early Warning’ Signal of Mandated Management Assessments: Evidence from the COVID-19 Pandemic” Paper:

- 2021 AAA Audit Midyear Meeting (Virtual)
- 2020 Center for Audit Quality Research Advisory Board Feedback Session (Virtual)

Discussion on Presented Paper “Do Big 4 Auditors Consistently Provide Better Audit Quality? Evidence from Government Audits”:

- 2021 AAA Annual Meeting (Virtual)

OTHER CONFERENCE ATTENDANCE / SERVICE

Attendee:

- 2019 AAA Audit Midyear Doctoral Consortium (Nashville, TN)
- 2019 AAA Audit Midyear Meeting (Nashville, TN)
- 2018 Temple University 100th Anniversary Accounting Conference (Philadelphia, PA)
- 2017 Conference on Financial Economics and Accounting (Temple University)

Reviewer:

- 2021 AAA Audit Midyear Meeting (Virtual)

OTHER PUBLICATIONS

Walters, Stephen M., John J. Walters, Christopher D. Appel, Crystal A. Callahan, Nicholas L. Centanni, Steven A. Maex, and Daniel G. O'Neill. 2012. *Econversations: Today's Students Discuss Today's Issues*. Upper Saddle River, NJ: Prentice Hall. Print.

Binkley, David, Dawn Lawrie, Steven Maex, and Christopher Morrell. 2009. Impact of Limited Memory Resources. *Science of Computer Programming* 74: 430–445.

TEACHING EXPERIENCE

Temple University (Philadelphia, PA)

2019 – 2020

Instructor: Accounting Information Systems (Master of Science in Accounting Program)

Fall 2019

- Integrated KPMG University Connection cases on Data and Analytics and IT Controls
- Overall Instructor Rating: 4.9 / 5.0

Guest Lecturer: Auditing (on topic of Data and Analytics in the Audit)

Fall 2019, Fall 2020

PROFESSIONAL EXPERIENCE

KPMG, LLP (Baltimore, MD)

2010 – 2017

KPMG is a global “Big 4” accounting and advisory firm with +\$20B in revenue

IT Advisory Services

Manager (accelerated promotion)

2014 – 2017

Senior Associate

2012 – 2014

Associate

2010 – 2012

- Led teams of up to six onshore and offshore personnel in performing IT controls testing in the context of financial statement audits and SOX assessments resulting in +\$1M managed revenue annually
- Developed and managed IT internal audit plan for an +\$8B manufacturing company; teamed with subject-matter experts in the execution of audits in emerging IT risk areas (e.g., mobile device management, cybersecurity, data privacy, social media risk, and vendor/cloud management)
- Developed and executed IT general and application control audit programs over common ERP systems (e.g., SAP, Oracle, PeopleSoft) and infrastructure platforms (e.g., UNIX, Windows)

Data and Analytics Strategic Growth Initiative

Manager

2014 – 2017

Senior Associate

2013 – 2014

- Managed internal work stream of up to 4 onshore and offshore personnel responsible for developing repeatable and sustainable data analytic solutions to assist in automating IT controls testing
- Responsible for driving use of analytic routines to increase effectiveness of internal audit activities
- Facilitated trainings on the use of analytics and visualization tools and routines (e.g., Alteryx)

AWARDS / DESIGNATIONS

2020	AAA/Deloitte Foundation/J. Michael Cook Doctoral Consortium Fellow
2017 – Present	Temple University Presidential Fellow
2017 – Present	AICPA Accounting Doctoral Scholars Program Fellow
2014	KPMG National “Leads by Example” Core Value Award
2010	Loyola University Lybrand Accounting Medal
2010	Loyola University John McFadden Family Medal in Information Systems
2007	Hauber Summer Research Fellow

CERTIFICATIONS AND PROFESSIONAL ORGANIZATIONS

Certified Public Accountant (CPA, Maryland)

Exam Passed on First Attempt (FIN: 97; REG: 96; AUD: 93; BEC: 89)

American Institute of Certified Public Accountants (AICPA)

Certified Information System Auditor (CISA)

Information Systems Audit and Control Association (ISACA)

SAS Certified Programmer (Advanced and Base)

SAS Institute

Alteryx Designer Certified (Advanced and Core)

Alteryx Academy

Tableau Desktop Specialist Certified

Tableau Certification Program

PROGRAMMING AND TECHNICAL SKILLS

Analysis: SAS, STATA, Python, Alteryx

Visualization: Qlikview, Tableau

REFERENCES

Jagan Krishnan (Co-Chair)

Professor and Merves Senior Research Fellow
Department of Accounting
Temple University – Fox School of Business
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Yi Liang

Assistant Professor
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